

CDS ACCOUNT FORM

Where relevant, this form must be submitted together with the relevant supporting documents. Please read the instructions on the reverse before completing this form.

CROSS (X) WHERE APPLICABLE

☐ APPLICATION FOR OPENING OF ACCOUNT

☐ APPLICATION FOR UPDATING OF ACCOUNT PARTICULARS

(Please complete the fields denoted with "W" and the relevant particulars to be updated)

☐ APPLICATION FOR CLOSING OF ACCOUNT

(Please complete the fields denoted with "W")

CDS ACCOUNT NUMBER (For new account opening, ADA to complete) #

____ - ____ - _____

OLD NRIC/PASSPORT/AUTHORITY CARD/REG.NO.: #

NAME OF APPLICANT/DEPOSITOR (AS PER NRIC/PASSPORT/AUTHORITY CARD/BUSINESS REGISTRATION DOCUMENT): #

REGISTERED ADDRESS OF APPLICANT/DEPOSITOR (AS PER NRIC/PASSPORT/AUTHORITY CARD/BUSINESS REGISTRATION DOCUMENT)

POST CODE:

TOWN:

STATE:

COUNTRY:

CORRESPONDENCE ADDRESS OF APPLICANT/DEPOSITOR (IF DIFFERENT FROM THE REGISTERED ADDRESS ABOVE)

POST CODE:

TOWN:

STATE:

COUNTRY:

NATIONALITY/PLACE OF INCORPORATION:

RACE/OWNERSHIP:

HOUSE/OFFICE TELEPHONE NO.

BANK NAME:

JOINT BANK ACCOUNT:

☐ YES

☐ NO

☐ CONSOLIDATE (BANK ACCOUNT)

Cross (X) if you wish the same bank account information to be used for all your CDS accounts.

BANK ACCOUNT NO.

☐ REVOKE CONSOLIDATION (BANK ACCOUNT)

Cross (X) if you wish to revoke a previous request to consolidate (bank account)

EMAIL ADDRESS

HANDPHONE NO.

ACCOUNT QUALIFIER (FOR TRUSTEES/CORPORATE BODY) (IF ANY):

APPLICABLE FOR AUTHORISED NOMINEE

NEW NRIC NO.: #

_____ - ____ - _____

OLD NRIC/PASSPORT/AUTHORITY CARD/REG.NO.: #

RACE/OWNERSHIP:

TAX IDENTIFICATION NUMBER (TIN)

SALES AND SERVICES TAX (SST)

*I / We hereby make the **declarations stated in "Declaration By Applicant/Depositor/Authorised Signatory(ies)/Attorney(s)" under "Part A / Part B overleaf.**

(For account opening and add/update bank account information)
DECLARATION BY DEALER'S REPRESENTATIVE/ADA'S AUTHORISED OFFICER(S)/NOTARY PUBLIC/ OTHERS AS APPROVED BY BURSA MALAYSIA DEPOSITORY SDN BHD ("BURSA DEPOSITORY")
(To be completed when the method of verification is by any of the above persons)

I hereby affirm and attest that the particulars of the applicant/depositor have been verified to be true and the *signature(s)/thumbprint(s) of the *applicant/depositor/authorised signatory(ies)/attorney(s) belong(s) to the *applicant/depositor/authorised signatory(ies)/attorney(s) who *has/have appeared in person before me.

NAME : _____

NRIC NO. : _____

LICENSE NO. : _____

(if applicable) DESIGNATION : _____

SIGNATURE : _____ DATE : _____

SIGNATURE(S)/THUMBPRINT(S) OF APPLICANT/DEPOSITOR/AUTHORISED SIGNATORY(IES)/ATTORNEY(S)*

DATE

* Delete whichever is inapplicable

** Affix common seal (where applicable)

FOR OFFICE USE ONLY

To be completed by ADA/ADM/Bursa Depository
(Sign and affix company rubber-stamp)

CDS ACCOUNT CLOSED ON: ____ - ____ - _____

Applicant

☐

INVESTOR TYPE

☐

ACCOUNT TYPE

☐

TAGGING CODE

☐

BENEFICIARY

Account Qualifier

☐

INVESTOR TYPE

☐

BENEFICIARY

VERIFIED BY : _____ DATE : _____

APPROVED BY : _____ DATE : _____

DATA ENTRY BY : _____ DATE : _____

CROSS (X) WHERE APPLICABLE (FOR INDIVIDUALS ONLY)

☐ Applicant appeared in person and the original *NRIC/Passport/Authority Card was verified by ADA/ADM.

☐ Application received from *Dealer Representative/ADA's Authorised Officer(s)/ Notary Public/Others as approved by Bursa Depository.

☐ Non Face To Face Verification

INSTRUCTIONS FOR COMPLETING THE CDS ACCOUNT FORM

General:

- 1 Please type or write using **BLOCK LETTER**.
- 2 Any amendment made must be legible and countersigned by both the applicant/depositor and the authorised signatory(ies) of the Authorised Depository Agent ("ADA").
- 3 Where affixation of common seal is not required, corporate investors must ensure that they affix the company rubber-stamp.

Applicable To "Application For Opening Of Account" Only

- 1 **Supporting Documents:** Please refer to www.bursamalaysia.com [Trade-Our Product & Services-Central Depository System (CDS) -Procedures Manual-CDS Guide For Depositors] for reference.
- 2 **Foreigner:** A foreigner is defined as:-
 - a) an individual who is not citizen of Malaysia;
 - b) a body, corporate or unincorporate, which is incorporated or constituted, as the case may be, outside Malaysia;
 - c) a trustee administering a trust which is constituted under any foreign law;
 - d) a trust corporation which is incorporated under any foreign law;
 - e) a society, including a co-operative society or any other institution, which is constituted, registered or incorporated under any foreign law;
 - f) a nominee company incorporated in Malaysia which-
 - i) is identified with the word "(Asing)" in its name; and
 - ii) performs the services of a nominee, agent or trustee solely for on behalf of legal or beneficial owners of securities who are foreigners; or
 - g) a company, other than a company described under paragraph (f), which is incorporated in Malaysia and where any one of the persons or a combination of the persons referred to in paragraph (a), (b), (c),(d) or (e) is entitled to exercise or control the exercise of more than fifty per centum of the voting rights of the company.
- 3 **Registered Address**
 - a) For individual applicants/depositors apart from armed forces/police personnel, use the address as stated in national registration identity card (NRIC).
 - b) For armed forces/police personnel, use the address of their respective camp/base/station.
 - c) For foreign applicants/depositors, use the address as stated in the passport (if any).
- 4 **Signing The Form Before An Acceptable Witness:**

Only applicable if the verification of an applicant's identity is to be done through an acceptable witness (instead of the applicant being present in person before an ADA or through non face to face verification)

 - a. the form is required to be signed before the acceptable witness who is required to complete the "Declaration By Dealer's Representative/ ADA's Authorised Officer(s)/Notary Public/Others As Approved By Bursa Malaysia Depository Sdn Bhd (Bursa Depository)" column; and
 - b. the acceptable witness must certify the photocopies of the NRIC/Passport/Armed Forces or Police Personnel Authority Card/supporting documents.

Applicable To "Application For Updating Of Account Particulars" Only

- 1 **Supporting Documents:** Please refer to www.bursamalaysia.com [Trade-Product & Services-Central Depository System (CDS) -Procedures Manual-CDS Guide For Depositors] for reference.

DECLARATIONS

A) APPLICATION FOR OPENING OF CDS ACCOUNT

DECLARATION BY APPLICANT/DEPOSITOR/AUTHORISED SIGNATORY(IES)/ATTORNEY(S)

*I/We hereby :-

- i. Declare that *I/We have the full capacity and authority to make the application, and open and operate a CDS account, and that the information given in this application is true and correct as at the date of this form.
- ii. Agree to be bound by the Rules of Bursa Malaysia Depository Sdn Bhd ("Depository") and any directions issued by the Depository, as may be amended from time to time.
- iii. Declare that *I/We are the *beneficial owners/authorised nominee of all securities credited into this account that is to be opened.
- iv. Irrevocably consent to the disclosure by the Depository, the issuer and their respective agents to any person, of such of *my/our personal information, as may be necessary or expedient to facilitate the payment of all cash dividends and other cash distributions that may be due to *me/us in respect of the deposited securities in *my/our CDS account, directly into *my/our bank account as stated in this form or as may be updated from time to time, or for any other purpose in connection with the payment of dividends or other cash distributions via such manner.
- v. Irrevocably consent to receiving all cash dividends and other cash distributions that may be due to *me/us in respect of the deposited securities in *my/our CDS account via direct credit into *my/our bank account as stated in this form or as may be updated from time to time.
- vi. Agree to receive, in relation to my deposited securities and where relevant, electronic notifications instead of hardcopy notification, sent using *my/our contact details as stated in this form or as may be updated from time to time, and further consent to the disclosure of *my/our contact details currently in the Depository's records to:
 - a) Bursa Malaysia Berhad's Group of Companies (the Group) to enable them to communicate capital market related matters, including new developments and initiatives by the Group, to *me/us ; and
 - b) facilitate the sending of notices and communications from the issuers and Participant Organisations (POs) e.g eDividend notification, contract notes from POs, and notices/circulars from issuers.

*I/We have read and am/are aware of the personal data notice available at Bursa Malaysia Berhad's website at www.bursamalaysia.com.

*Delete whichever is inapplicable

B) APPLICATION FOR CLOSING OF CDS ACCOUNT

DECLARATION BY APPLICANT/DEPOSITOR/AUTHORISED SIGNATORY(IES)/ATTORNEY(S)

* I/We hereby declare that there is nil balance in and no outstanding transactions relating to this account and acknowledge that the Depository will only close the account upon verifying and confirming that there is nil balance and no outstanding transactions relating to this account in the Depository's records.

*Delete whichever is inapplicable